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JCS 09/990830  
11/09/01



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : JOHN ZIMMERMAN, ET AL.  
 U.S. Serial No. : (Not yet assigned)  
 Filed : (Not yet assigned)  
 For : SYSTEMS FOR MONITORING BROADCAST CONTENT AS A  
       FUNCTION OF SUBSCRIBER PROFILES AND METHODS OF  
       OPERATING THE SAME  
 Group No. : (Not Yet Assigned)  
 Examiner : (Not Yet Assigned)

## BOX PATENT APPLICATION

Commissioner for Patents  
 Washington, D. C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

U.S. Patents

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
5,918,223	Blum, et al.	Jun. 29, 1999
5,893,095	Jain, et al.	Apr. 6, 1999
6,055,543	Christensen, et al.	Apr. 25, 2000

Foreign Patents

<u>Patent No.</u>	<u>Country</u>	<u>Date</u>
WO 98/08340	PCT	Feb. 26, 1998
WO 99/41684	PCT	Aug. 19, 1999

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

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Date: Nov. 9, 2001

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FORM PTO-1449 (REV. 7.80)	U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 701483	SERIAL NO. (not yet assigned)
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT John Zimmerman, et al.	
		FILING DATE (not yet assigned)	GROUP (not yet assigned)

109/990830  
109/990830  
109/990830

## U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA	5,918,223	06/29/1999	Blum, et al.	707	1	Jul. 21, 1997
	AB	5,893,095	04/06/1999	Jain, et al.	707	6	Mar. 28, 1997
	AC	6,055,543	04/25/2000	Christensen, et al.	707	104	Nov. 21, 1997

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AD	WO 98/08340	02/26/1998	PCT				
	AE	WO 99/41684	08/19/1999	PCT				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.